SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Eliasof Scott			. Date of Event equiring Staten Month/Day/Year 0/25/2016	nent	3. Issuer Name and Ticker or Trading Symbol <u>Cerulean Pharma Inc.</u> [CERU]							
(Last) C/O CERULE 35 GATEHOU	(First) EAN PHARMA	(Middle) INC.				ionship of Reporting Perso all applicable) Director Officer (give title	10% Owne Other (spe	er	(Mon	5. If Amendment, Date of Original Filed (Month/Day/Year) 10/27/2016		
(Street) WALTHAM	MA (State)	02451 (Zip)			A below) SVP, Chief Scientif		below) ic Officer		Appli	5. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
Table I - Non-Derivative Securities Beneficially Owned												
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock						100(1)	Ι		By Tapscott-Eliasof 2012 Family Trust			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
Expiration (Month/I			Expiration Da			3. Title and Amount of Securit Underlying Derivative Securit		4. Conve or Exe	rcise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)		

Explanation of Responses:

1. These shares were omitted from the reporting person's original Form 3.

Remarks:

/s/ Alejandra Carvajal, as attorney-in-fact for Scott Eliasof

01/19/2017

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.