FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>GUIFFRE CHRISTOPHERD T</u>						2. Issuer Name and Ticker or Trading Symbol Cerulean Pharma Inc. [ CERU ]								cable) or (give title	g Pers	son(s) to Iss 10% Ow Other (s	/ner		
(Last) (First) (Middle) C/O CERULEAN PHARMA INC.					3. Date of Earliest Transaction (Month/Day/Year) 06/24/2014								X Office below Sr. V		Busin	below) ess Office	r		
840 MEMORIAL DRIVE						If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable					
(Street) CAMBRIDGE MA 02139			02139		II Americancia, Date of Original Fried (Month/Day/Teal)								X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(S	tate)	(Zip)										reisu	•					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)					Execution Date,			, Transaction Disposed Code (Instr. 5)		ities Acquired (A) or d Of (D) (Instr. 3, 4 a		Benefic	es For ally (D) Following (I) (		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
							Code	v	Amount	t (A) or Pr		Transac (Instr. 3	tion(s)			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye	Code				6. Date Exercisable a Expiration Date (Month/Day/Year)			7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	ly	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisab		expiration Date	Title	Amount or Number of Shares							
Stock Option (right to buy)	\$5.73	06/24/2014		A		50,300		(1)	0	6/23/2024	Common Stock	50,300	\$0.00	192,15	8	D			

## Explanation of Responses:

1. This option was granted on June 24, 2014. The option vests as to 2.08333% of the underlying shares monthly through and including June 24, 2018.

## Remarks:

/s/ Christopher D.T. Guiffre 06/26/2014

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.